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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Eastman Chemical Company

Application No./Patent No.: 10/686,012 Filed/Issue Date: October 15, 2003

IMPROVED HAIR SPRAY AND CONSUMER SPRAYS WITH REDUCED VOLATILE ORGANIC

Entitled: COMPOUNDS

Eastman Chemical Company, a

Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 009583, Frame 0001, or for which a copy thereof is attached.
- This Application number 10/686,012 filed October 15, 2003 is a continuation of U. S. Patent 6,752,983 issued June 22, 2004 which was assigned from the inventors to Eastman Chemical Company recorded at the USPTO on November 13, 1998, reel/frame: 009583/0001;
- U. S. Patent 6,752,983 is a non-provisional application which claims priority from U. S. Provisional Application Number 60/059,784 filed September 17, 1997 and assigned to Eastman Chemical Company recorded at the USPTO on January 20, 1998, reel/frame: 8909/0309
- and also claims priority from U. S. Provisional Application 60/071,909 filed January 20, 1998 assigned to Eastman Chemical Company recorded at the USPTO on March 20, 1998, reel/frame: 9058/0962

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

July 24, 2009  
Date

Bernard J. Graves, Jr.

Typed or printed name

Bernard J. Graves  
Signature

Vice President, Legal Affairs and  
Assistant General Counsel, IP

Title

ASSIGNMENT

For good and valuable consideration received, we, Suzanne W. Dobbs, residing at 304 Highridge Road, Kingsport, Tennessee 37660-3407, Terry A. Oldfield, residing at 2121 Beechnut Drive, Kingsport, Tennessee 37660-4761, Phillip M. Cook, residing at 231 Saddle Ridge Drive, Kingsport, Tennessee, 37664-4780, and William R. Bryan, residing at 1205 Ervin Court, Kingsport, Tennessee 37664-20554, citizens of the United States of America, do hereby sell and assign to Eastman Chemical Company, a Delaware corporation having a place of business at Kingsport, Tennessee, its successors and assigns, the entire right, title, interest and priority rights in and to all of our invention, discoveries and improvements disclosed in U. S. Application Serial No. 09/153,644, filed September 15, 1998 for "**IMPROVED HAIR SPRAY AND CONSUMER SPRAYS WITH REDUCED VOLATILE ORGANIC COMPOUNDS**" and any non-provisional U.S. application filed claiming priority thereto executed by Suzanne W. Dobbs, Terry A. Oldfield, Phillip M. Cook, and William R. Bryan, and all right, title and interest in and to Letters Patent and similar protective rights granted on said applications in the United States and other countries, as well as the right to claim any applicable priority rights arising from said applications under the terms of any applicable conventions, treaties, statutes or regulations, said applications to be filed and issued in the name of Eastman Chemical Company or its designee; and we agree to execute such documents as in the judgment of Eastman Chemical Company may be necessary to obtain any such patents and similar protective rights and vest and maintain the title thereto in Eastman Chemical Company or its designee; and we further agree that, upon request, but without expense to us, we shall furnish to Eastman Chemical Company or its designee any data, information, exhibits, memoranda, or other evidence in our possession relating to any of said inventions or improvements and to testify

in any ex parte or inter parties legal proceedings relating to any of said inventions, discoveries, improvements, applications, patents or similar protective rights; and we authorize and request issuance of all Letters Patent and similar protective rights that may be granted on any of said applications, to the extent that and in such manner as such issuance shall be requested by Eastman Chemical Company or its designee. This document shall be governed, construed, and interpreted in all respects in accordance with the laws of the State of Tennessee, USA.

IN WITNESS WHEREOF, we have executed this assignment this 21<sup>st</sup> day of September, 1998

Suzanne W. Dobbs (L.S.)  
Suzanne W. Dobbs

State of Tennessee

County of Sullivan

On this 21<sup>st</sup> day of September, 1998, personally appeared before me, a Notary Public in and for Sullivan County, Suzanne W. Dobbs, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that she executed the same.

Clute P. Dickson  
Notary Public

My Commission Expires: March 26, 2002

[signatures continued on next page]

Terry A. Oldfield (L.S.)  
Terry A. Oldfield

State of Tennessee  
County of Sullivan

On this 21<sup>st</sup> day of September, 1998, personally appeared before me, a Notary Public in and for Sullivan County, Terry A. Oldfield, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Celute P. Dickson  
Notary Public

My Commission Expires: March 26, 2002

Phillip M. Cook (L.S.)  
Phillip M. Cook

State of Tennessee  
County of Sullivan

On this 21<sup>st</sup> day of September, 1998, personally appeared before me, a Notary Public in and for Sullivan County, Phillip M. Cook, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Celute P. Dickson  
Notary Public

My Commission Expires: March 26, 2002

[signatures continued on next page]

William R Bryan (L.S.)  
William R. Bryan

State of Tennessee  
County of Sullivan

On this 21<sup>ST</sup> day of September, 1998, personally appeared before me, a Notary Public in and for Sullivan County, William R. Bryan, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Celute P. Dickson  
Notary Public

My Commission Expires: March 26, 2002

ASSIGNMENT

For good and valuable consideration received, I/we, Suzanne W. Dobbs, Terry A. Oldfield, Phillip M. Cook, and William R. Bryan, do hereby sell and assign to Eastman Chemical Company, a Delaware corporation having a place of business at Kingsport, Tennessee, its successors and assigns, the entire right, title, interest and priority rights in and to all of my/our inventions, discoveries and improvements disclosed in U.S. Provisional Application No. 60/071,909 filed January 20, 1998, for IMPROVED HAIR SPRAY WITH REDUCED VOLATILE ORGANIC COMPOUNDS and any non-provisional U.S. application filed claiming priority thereto, and all right, title and interest in and to Letters Patent and similar protective rights granted on said applications in the United States and other countries, as well as the right to claim any applicable priority rights arising from said applications under the terms of any applicable conventions, treaties, statutes or regulations, said applications to be filed and issued in the name of Eastman Chemical Company or its designee; and I/we agree to execute such documents as in the judgment of Eastman Chemical Company may be necessary to obtain any such patents and similar protective rights and vest and maintain the title thereto in Eastman Chemical Company or its designee; and I/we further agree that, upon request, but without expense to me/us, I/we shall furnish to Eastman Chemical Company or its designee any data, information, exhibits, memoranda, or other evidence in our possession relating to any of said inventions, discoveries or improvements and to testify in any ex parte or inter partes legal proceedings relating to any of said inventions, discoveries, improvements, applications, patents or similar protective rights; and I/we authorize and request issuance of all Letters Patent and similar protective rights that may be granted on any of said applications, to the extent that and in such manner as such issuance shall be requested by Eastman Chemical Company or its designee. This document shall be governed, construed, and interpreted in all respects in accordance with the laws of the State of Tennessee, USA.

Signed this 5<sup>th</sup> day of March, 1998

Suzanne W. Dobbs  
Suzanne W. Dobbs

STATE OF TENNESSEE

COUNTY OF SULLIVAN

}  
} ss.

On this 5<sup>th</sup> day of March, 1998, before me personally appeared Suzanne W. Dobbs, to me known to be the person described in and who executed the foregoing instrument, and acknowledged that such person executed the same as such person's free act and deed.

Rocky Davis  
Notary Public

My Commission Expires

9/17/99

Docket: 70760

PATENT

Signed this 5<sup>th</sup> day of March, 1998

Terry A. Oldfield  
Terry A. Oldfield

STATE OF TENNESSEE

COUNTY OF SULLIVAN

} ss.

On this 5<sup>th</sup> day of March, 1998, before me personally appeared Terry A. Oldfield, to me known to be the person described in and who executed the foregoing instrument, and acknowledged that such person executed the same as such person's free act and deed.

Becky Davis  
Notary Public

My Commission Expires

9/7/99

Signed this 4<sup>th</sup> day of March, 1998

Phillip M. Cook  
Phillip M. Cook

STATE OF TENNESSEE

COUNTY OF SULLIVAN

} ss.

On this 4<sup>th</sup> day of March, 1998, before me personally appeared Phillip M. Cook, to me known to be the person described in and who executed the foregoing instrument, and acknowledged that such person executed the same as such person's free act and deed.

Becky Davis  
Notary Public

My Commission Expires

9/7/99

Docket: 70760

PATENT

Signed this 13<sup>th</sup> day of March, 1998

William R. Bryan

William R. Bryan

STATE OF TENNESSEE

COUNTY OF SULLIVAN

} ss.

On this 13<sup>th</sup> day of March, 1998, before me personally appeared William R. Bryan, to me known to be the person described in and who executed the foregoing instrument, and acknowledged that such person executed the same as such person's free act and deed.

Becky Davis  
Notary Public

My Commission Expires

9/9/99

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ASSIGNMENT

For good and valuable consideration received, I/we, Suzanne W. Dobbs, Terry A. Oldfield, Phillip M. Cook, and William R. Bryan, do hereby sell and assign to Eastman Chemical Company, a Delaware corporation having a place of business at Kingsport, Tennessee, its successors and assigns, the entire right, title, interest and priority rights in and to all of my/our inventions, discoveries and improvements disclosed in U.S. Provisional Application No. 60/059,764 filed September 17, 1997, for IMPROVED HAIR SPRAY WITH REDUCED VOLATILE ORGANIC COMPOUNDS and any non-provisional U.S. application filed claiming priority thereto, and all right, title and interest in and to Letters Patent and similar protective rights granted on said applications in the United States and other countries, as well as the right to claim any applicable priority rights arising from said applications under the terms of any applicable conventions, treaties, statutes or regulations, said applications to be filed and issued in the name of Eastman Chemical Company or its designee; and I/we agree to execute such documents as in the judgment of Eastman Chemical Company may be necessary to obtain any such patents and similar protective rights and vest and maintain the title thereto in Eastman Chemical Company or its designee; and I/we further agree that, upon request, but without expense to me/us, I/we shall furnish to Eastman Chemical Company or its designee any data, information, exhibits, memoranda, or other evidence in our possession relating to any of said inventions, discoveries or improvements and to testify in any ex parte or inter partes legal proceedings relating to any of said inventions, discoveries, improvements, applications, patents or similar protective rights; and I/we authorize and request issuance of all Letters Patent and similar protective rights that may be granted on any of said applications, to the extent that and in such manner as such issuance shall be requested by Eastman Chemical Company or its designee. This document shall be governed, construed, and interpreted in all respects in accordance with the laws of the State of Tennessee, USA.

Signed this 8<sup>th</sup> day of January, 1998

Suzanne W. Dobbs  
Suzanne W. Dobbs

STATE OF TENNESSEE

COUNTY OF SULLIVAN

}  
ss.

On this 8<sup>th</sup> day of January, 1998, before me personally appeared Suzanne W. Dobbs, to me known to be the person described in and who executed the foregoing instrument, and acknowledged that such person executed the same as such person's free act and deed.

Mary Harmon  
Notary Public

My Commission Expires

February 13, 1999

70760/US 3

Signed this 8<sup>th</sup> day of January, 1998

Terry A. Oldfield  
Terry A. Oldfield

STATE OF TENNESSEE

) ss.

COUNTY OF SULLIVAN

On this 8<sup>th</sup> day of January, 1998, before me personally appeared Terry A. Oldfield, to me known to be the person described in and who executed the foregoing instrument, and acknowledged that such person executed the same as such person's free act and deed.

Mary Harrison  
Notary Public

My Commission Expires

February 13, 1999

Signed this 8<sup>th</sup> day of January, 1998

Phillip M. Cook  
Phillip M. Cook

STATE OF TENNESSEE

) ss.

COUNTY OF SULLIVAN

On this 8<sup>th</sup> day of January, 1998, before me personally appeared Phillip M. Cook, to me known to be the person described in and who executed the foregoing instrument, and acknowledged that such person executed the same as such person's free act and deed.

Mary Harrison  
Notary Public

My Commission Expires

February 13, 1999

Docket: 70760

PATENT

Signed this 8<sup>th</sup> day of January, 1998

William R Bryan  
William R. Bryan

STATE OF TENNESSEE

COUNTY OF SULLIVAN

)  
) ss.  
)

On this 8<sup>th</sup> day of January, 1998, before me personally appeared William R. Bryan, to me known to be the person described in and who executed the foregoing instrument, and acknowledged that such person executed the same as such person's free act and deed.

A. Wilkins Hook  
Notary Public

My Commission Expires

23 February, 1998